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Claims 26 is cancelled. Claims 2, 17 and 20 are amended. New claims 27 and 28 are added.

An appendix is attached with marked-up versions of the amended claims. Additions are shown with underlining and deletions are shown with strike-through.

No new matter is added.

Favorable consideration of the present claims is respectfully awaited.

Respectfully submitted,



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Attachment: Appendix to Preliminary Amendment

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# **APPENDIX to PRELIMINARY AMENDMENT**

## **Marked-up Claims**

**2. (amended)** A compound of formula (I) or (III) according to claim 1, wherein Q<sub>1</sub> is derived from an unsubstituted or substituted triazine, from a mono or multifunctional alkylating agent, from a mono or polycarboxylic acid or acid derivative, from a mono or polyepoxide, from a mono or polyisocyanate or from POCl<sub>3</sub>, SO<sub>2</sub>Cl<sub>2</sub>, BCl<sub>3</sub> or SiCl<sub>4</sub>.

**17. (amended)** A polymerizable composition, comprising  
a) at least one ethylenically unsaturated monomer or oligomer, and  
b) a compound of formula (I), (II) or, (III), ~~(IV), (V), (VI) or (VII)~~ according to claim ~~1~~ or 16.

**20. (amended)** A process for preparing an oligomer, a cooligomer, a polymer or a copolymer (block or random) by free radical polymerization of at least one ethylenically unsaturated monomer or oligomer, which comprises (co)polymerizing the monomer or monomers/oligomers in the presence of an initiator/regulator compound of formula (I), (II) or, (III), ~~(IV) or (V)~~ according to claim ~~1~~ or 16 under reaction conditions capable of effecting scission of the O-C bond to form two free radicals, the •C radical being capable of initiating polymerization.

**27. (new)** A polymerizable composition, comprising  
a) at least one ethylenically unsaturated monomer or oligomer, and  
b) a compound of formula (IV), (V), (VI) or (VII) according to claim **16**.

**28. (new)** A process for preparing an oligomer, a cooligomer, a polymer or a copolymer (block or random) by free radical polymerization of at least one ethylenically unsaturated monomer or

oligomer, which comprises (co)polymerizing the monomer or monomers/oligomers in the presence of an initiator/regulator compound of formula (IV), (V), (VI) or (VII) according to claim **16** under reaction conditions capable of effecting scission of the O-C bond to form two free radicals, the  $\bullet\text{C}$  radical being capable of initiating polymerization.

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